

1A97 Rec'd PCT/PTO 28 MAR 2006

Substitute for Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 1034232-000019	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/573813	
INTERNATIONAL APPLICATION NO. PCT/JP2004/014037		INTERNATIONAL FILING DATE 17 Sep 2004		PRIORITY DATE CLAIMED 30 Sep 2003	
TITLE OF INVENTION BIOCATALYST FOR PRODUCTION OF D-LACTIC ACID (as amended)					
APPLICANT(S) FOR DO/EO/US Mitsufumi WADA, Toshihiro OIKAWA, Daisuke MOCHIZUKI, Junko TOKUDA, Miyuki KAWASHIMA, Tadashi ARAKI, Reiko ABE, Hitoki MIYAKE, Hitoshi TAKAHASHI, Hideki SAWAI, Takashi MIMIZUKA, et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission to items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 to 21 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <u>General Authorization for Petitions for Extension of Time and Payment of Fees; Application Data Sheet; Form PCT/IB/304.</u> 					

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10/573813		PCT/JP2004/014037		1034232-000019	

<p>21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>mitsui chemicals, inc., Tokyo, Japan</u></p> <p>22. <input checked="" type="checkbox"/> The following fees are submitted:</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="4">Basic Filing Fee (1631)</td> <td style="text-align: right;">\$ 300.00</td> <td></td> </tr> <tr> <td colspan="4">Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">CLAIMS</td> <td style="text-align: center;">NUMBER FILED</td> <td style="text-align: center;">NUMBER EXTRA</td> <td style="text-align: center;">RATE</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">37</td> <td style="text-align: center;">-20 =</td> <td style="text-align: center;">17</td> <td style="text-align: right;">x \$50.00 (1615)</td> <td style="text-align: right;">\$ 850.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">7</td> <td style="text-align: center;">-3 =</td> <td style="text-align: center;">4</td> <td style="text-align: right;">x \$200.00 (1614)</td> <td style="text-align: right;">\$ 800.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: right;">+ \$360.00 (1616)</td> <td></td> </tr> <tr> <td colspan="4">Examination Fee</td> <td style="text-align: right;">+ \$200.00 (1633)</td> <td style="text-align: right;">\$ 200.00</td> </tr> <tr> <td colspan="4">Search Fee</td> <td style="text-align: right;">+ \$400.00 (1632)</td> <td style="text-align: right;">\$ 400.00</td> </tr> <tr> <td colspan="4">App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</td> <td></td> <td style="text-align: right;">\$ 2,550.00</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td> <td style="text-align: right;">+</td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">SUBTOTAL =</td> <td style="text-align: right;">\$ 2,550.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 (1618) for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td></td> <td style="text-align: right;">\$ 0.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">TOTAL NATIONAL FEE =</td> <td style="text-align: right;">\$ 2,550.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +</td> <td></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td></td> <td style="text-align: right;">\$ 2,550.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">Amount to be refunded :</td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">charged :</td> <td></td> </tr> </table> <p>a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Charge <u>\$ 2,550.00</u> to credit card. Form PTO-2038 is attached.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <table style="width:100%;"> <tr> <td style="width:50%; vertical-align: top;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Buchanan Ingersoll PC Including attorneys from Burns, Doane, Swecker & Mathis P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620</p> </td> <td style="width:50%; vertical-align: top;"> <div style="text-align: center;"> </div> <p>SIGNATURE</p> <p style="text-align: center;">Robert G. Mukai</p> <p>NAME</p> <p style="text-align: center;">28,531 March 28, 2006</p> <p>REGISTRATION NO. 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